| (REV 10-200   |   | E PATENT AND TRADEMARK OFFICE                   | ATTORNEY'S DOCKET NUMBER  |  |  |  |  |  |
|---|---|---|---|--|--|--|--|--|
| TRANSMITTAL LETTER TO THE UNITED STATES   |   |   | 21919-00017-US  |  |  |  |  |  |
|   | DESIGNATED/ELECTED OFFIC  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) |   |  |  |  |  |  |
| CONCERNING A FILING UNDER 35 U.S.C. 371  INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED          |   |   |   |  |  |  |  |  |
| IIVILIQ   | PCT/ZA03/00011  | 22 January 2003                                 | 23 January 2002   |  |  |  |  |  |
| TITLE C   | FINVENTION MINERAL ANALYSIS (A  | As Amended)                                     |   |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US François Eberhardt DU PLESSIS   |   |   |   |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |   |   |   |  |  |  |  |  |
| 1. x  | 1. x This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.   |   |   |  |  |  |  |  |
| 2.  | 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing 35 U.S.C. 371.  |   |   |  |  |  |  |  |
| 3.  | 3. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |   |  |  |  |  |  |
| 4.  | ,   |   |   |  |  |  |  |  |
| 5. x  | A copy of the International Application as filed (35 U.S.C. 371 (c)(2))   |   |   |  |  |  |  |  |
| a.  | x is attached hereto (required only if not communicated by the International Bureau).   |   |   |  |  |  |  |  |
| b.  | has been communicated by the International Bureau.  |   |   |  |  |  |  |  |
| c.  | is not required, as the application was filed in the United States Receiving Office (RO/US).  |   |   |  |  |  |  |  |
| 6.  | An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).   |   |   |  |  |  |  |  |
| a.  | is attached hereto.   |   |   |  |  |  |  |  |
| b.  | has been previously submitted under 35 U.S.C. 154(d)(4).  |   |   |  |  |  |  |  |
| 7. ×  | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))   |   |   |  |  |  |  |  |
| a.  | are attached hereto (required only if not communicated by the International Bureau).  |   |   |  |  |  |  |  |
| b.  | have been communicated by the International Bureau.   |   |   |  |  |  |  |  |
| c.  | have not been made; however, the time limit for making such amendments has NOT expired.   |   |   |  |  |  |  |  |
| đ.  | x have not been made and will not be made.  |   |   |  |  |  |  |  |
| 8.  | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).  |   |   |  |  |  |  |  |
| 9. x  | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).   |   |   |  |  |  |  |  |
| 10.   | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).                       |   |   |  |  |  |  |  |
| Items 11  | ems 11 to 20 below concern document(s) or information included:   |   |   |  |  |  |  |  |
| 11. x   | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |   |   |  |  |  |  |  |
| 12. x   | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |   |   |  |  |  |  |  |
| 13. x   | A preliminary amendment.  |   |   |  |  |  |  |  |
| 14. x   | An Application Data Sheet under 37 CFR 1.76.  |   |   |  |  |  |  |  |
| 15.   | A substitute specification.   |   |   |  |  |  |  |  |
| 16.   | A power of attorney and/or change of address letter.  |   |   |  |  |  |  |  |
| 17.   | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.  |   |   |  |  |  |  |  |
| 18.   | A second copy of the published international application under 35 U.S.C. 154(d)(4).   |   |   |  |  |  |  |  |
| 19.   | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).   |   |   |  |  |  |  |  |
| 20. x   | Other items or information: PTO Form SB 14 622 A1 and   |   | ng an English language translation of DE 44 or DD 244 821 A1 and DE 35 09 671 A1. |  |  |  |  |  |
|   |   |   |   |  |  |  |  |  |

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page 1 of 2

| U.S. APPLICATION NO. (ijknown, C.32 FRZs)  |                           |                 |           | ATTORNEY'S DOCKET NUMBER |   |  |  |  |  |
|--|---------------------------|-----------------|-----------|--------------------------|---|--|--|--|--|
| 21. x The following fees are submitted:  |                           |                 | 3/00011   | 21919-00017-US           |   |  |  |  |  |
| <u> </u>   | CALCULATIONS PTO USE ONLY |                 |           |                          |   |  |  |  |  |
| BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) – (5)):  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO  |                           |                 |           |                          |   |  |  |  |  |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00   |                           |                 |           |                          |   |  |  |  |  |
| International prelimi  | ,                         |                 |           |                          |   |  |  |  |  |
| International prelimi<br>but all claims did no   |                           |                 |           |                          |   |  |  |  |  |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)  |                           |                 |           |                          |   |  |  |  |  |
| ENTER  | \$ 920.00                 |                 |           |                          |   |  |  |  |  |
| Surcharge of \$130.00 for from the earliest claimed p  | \$                        |                 |           |                          |   |  |  |  |  |
| CLAIMS   | NUMBER FILED              | NUMBER EXTRA    | RATE      |                          |   |  |  |  |  |
| Total claims   | 13-20 =                   |                 | х         | \$ 0.00                  |   |  |  |  |  |
| Independent claims   | 2-3 =                     |                 | х         | \$ 0.00                  |   |  |  |  |  |
| MULTIPLE DEPENDE   |                           |                 | +         | \$ 290.00                |   |  |  |  |  |
|  |                           | L OF ABOVE CALC |           | \$ 1210.00               |   |  |  |  |  |
| Applicant claims so are reduced by ½   |                           | \$              |           |                          |   |  |  |  |  |
|  |                           | S               | UBTOTAL = | \$ 1210.00               |   |  |  |  |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).   |                           |                 |           |                          |   |  |  |  |  |
|  | \$ 1210.00                |                 |           |                          |   |  |  |  |  |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$   |                           |                 |           |                          |   |  |  |  |  |
|  | \$ 1250.00                |                 |           |                          |   |  |  |  |  |
|  |                           |                 |           | Amount to be refunded:   | s |  |  |  |  |
|  |                           |                 |           | charged:                 | s |  |  |  |  |
| a. A check in the amount of \$ to cover the above fees is enclosed.  |                           |                 |           |                          |   |  |  |  |  |
| b. x Please charge my Deposit Account No. 22-0185 in the amount of \$ 1250.00 to cover the above fees. A duplicate copy of this sheet is enclosed.   |                           |                 |           |                          |   |  |  |  |  |
| c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 22-0185 . A duplicate copy of this sheet is enclosed.   |                           |                 |           |                          |   |  |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card   |                           |                 |           |                          |   |  |  |  |  |
| information should not be included on this form. Provide credit card information and authorization on PTO-2038.  |                           |                 |           |                          |   |  |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.  |                           |                 |           |                          |   |  |  |  |  |
| SEND ALL CORRESPONDENCE TO: Mash 1. Pino   |                           |                 |           |                          |   |  |  |  |  |
| Mark J. Pino SIGNATURE:  CONNOLLY BOVE LODGE & HUTZ LLP Mark J. Pino   |                           |                 |           |                          |   |  |  |  |  |
| 1990 M Street, N.W., Suite 800  NAME   |                           |                 |           |                          |   |  |  |  |  |
| Washington, DC 20036-3425  |                           |                 |           |                          |   |  |  |  |  |
| (202) 331-7111<br>CUSTOMER NUMBER:   | 43,858<br>IMBER           |                 |           |                          |   |  |  |  |  |
| The state of the s |                           |                 |           |                          |   |  |  |  |  |

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 21919-00017-US

## **Certificate of Hand Delivery**

I hereby certify that this correspondence is being hand delivered to the United States Patent and Trademark Office at the address shown below:

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Note: The following papers are submitted:

Transmittal Letter to the United States Designated-Elected Office (2 pp/Duplicate);

Executed Declaration and Power of Attorney (3 pp); Copy of Published Application (22 pp/Double Sided);

Assignment Recordation Cover - Form 1595 (1 pg/Duplicate);

Executed Assignment (3 pp);

Preliminary Amendment (6 pp);

Information Disclosure Statement (3 pp);

International Search Report (2 pp); and

Form SB 08 with 12 references (including English language translation of DE 44 14 622 A1 and English language abstracts of DD 244 821 A1 and DE 35 09 671 A1).